

ELECTRONICS DEVICES AND MATERIALS

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TODAY'S TOPICS

1. What is Dielectric?

2. Dielectricity of Materials and Dielectric Dispersion

- Electric Polarizations in Solid State Materials and Dielectric Constant
- Electric Dipoles and Polarizability
- Dielectric Dispersion (Relaxation & Resonance type)

3. Crystal Structures and Their Symmetry

- Crystal System and The Bravais lattices
- Symmetry Operations and Point Groups
- Crystal symmetry and Polar Crystals

SYLLABUS

- Introduction to materials structure and dielectric physics
- Ferroelectricity involved in structural phase transitions
- Material design of dielectrics and introduction to metamaterials
- Ferroelectric devices

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WHAT IS DIELECTRIC?

A 'dielectric' is a good electric insulator so as to minimize any DC leakage current through a dielectric material.

TODAY'S TOPICS

1. What is Dielectric?

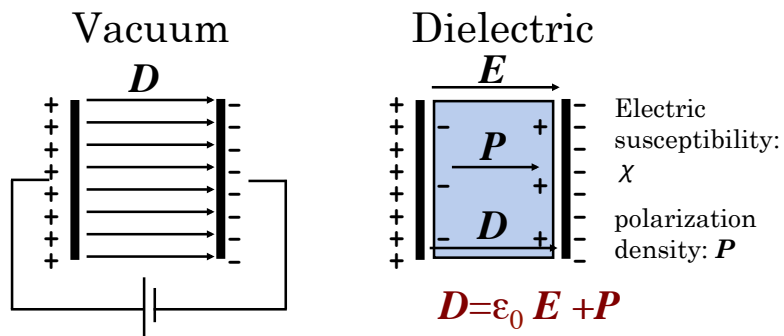
2. Dielectricity of Materials and Dielectric Dispersion

- [Electric Polarizations in Solid State Materials and Dielectric Constant](#)
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POLARIZATION OF DIELECTRIC



Relationship of electric displacement field, D and electric field, E

$$D = \epsilon_0 E$$

$$D = \epsilon_0 E + P$$

$$P = \epsilon_0 \chi E$$

$$D = \epsilon_0 (1 + \chi) E$$

$$= \epsilon_r \epsilon_0 E$$

relative permittivity: ϵ_r

COMPLEX PERMITTIVITY (COMPLEX ELECTRIC CONSTANT)

Electric Field E
 \Rightarrow alternating current (AC) Field

$$E = E_0 e^{j\omega t}$$

electric displacement field, D

$$D = D_0 e^{j\omega t - \delta}$$

δ : measurable phase difference emerging between D and E

$$D = \epsilon_0 \epsilon^* E$$

Complex permittivity: ϵ^*

$$\epsilon^* = \epsilon' - \epsilon''$$

$$\epsilon' = \frac{D_0}{\epsilon_0 E_0} \cos \delta$$

$$\epsilon'' = \frac{D_0}{\epsilon_0 E_0} \sin \delta$$

$$\tan \delta = \frac{\epsilon''}{\epsilon'}$$

W is a energy stored in dielectric.

$$W = \frac{1}{2} \omega \epsilon'' \epsilon_0 E_0^2$$

$$= \frac{1}{2} \omega \epsilon' \epsilon_0 E_0 \tan \delta^2$$

ϵ'' and $\tan \delta$ are related to the dissipation (or loss) of energy within the medium.

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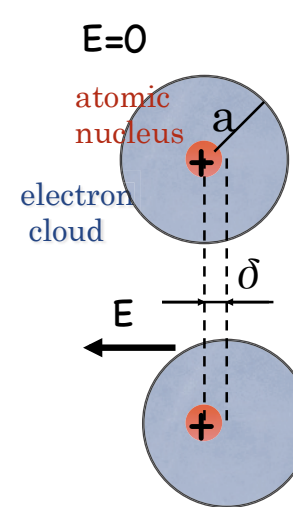
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ORIGINS OF POLARIZATION ~ ELECTRONIC POLARIZATION ~



Electron electric dipole moment : μ_e

$$\mu_e = \alpha_e E$$

Electron polarizability : α_e

$$\alpha_e = 4\pi \epsilon_0 a^3$$

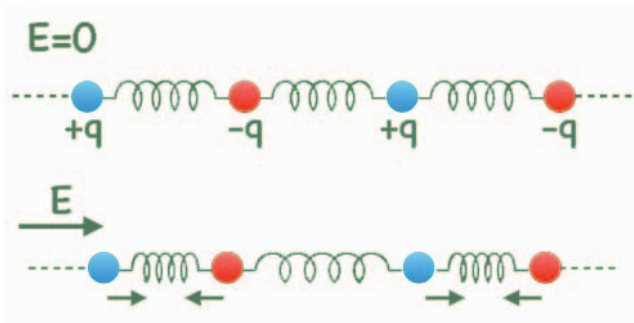
⇒ proportional to atomic volume

Polarizability of mono-atomic ion (10^{-24} cm^3)

Li ⁺	Be ²⁺	B ³⁺	C ⁴⁺	O ²⁻	F ⁻	Ne
0.029	0.008	0.003	0.0013	3.88	1.04	0.390
Na ⁺	Mg ²⁺	Al ³⁺	Si ⁴⁺	S ²⁻	Cl ⁻	Ar
0.179	0.094	0.052	0.0165	10.2	3.66	1.62
K ⁺	Ca ²⁺	Sc ³⁺	Ti ⁴⁺	Se ²⁻	Br ⁻	Kr
0.83	0.47	0.286	0.189	10.5	4.77	2.46
Rb ⁺	Sr ²⁺	Y ³⁺	Zr ⁴⁺	Te ²⁻	I ⁻	Xe
1.40	0.86	0.55	0.37	14.0	7.10	3.99
Cs ⁺	Ba ²⁺	La ³⁺				
2.42	1.55	1.04				

Pauling's values

ORIGINS OF POLARIZATION ~ IONIC POLARIZATION (ATOMIC POL.) ~



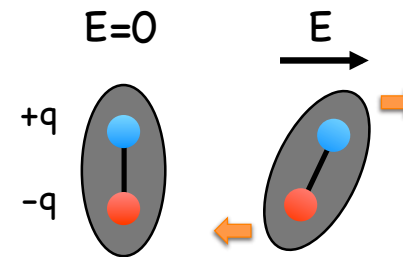
Ionic electric dipole moment : μ_i

$$\mu_i = \alpha_i E$$

Ionic or Atom polarizability : α_i

ORIGINS OF POLARIZATION ~ DIPOLAR POLARIZATION (DIPOLE RELAXATION) ~

Many molecules have permanent dipole moments μ due to non-uniform distributions of positive and negative charges on the various atoms.



The orientation is induced by electric field.

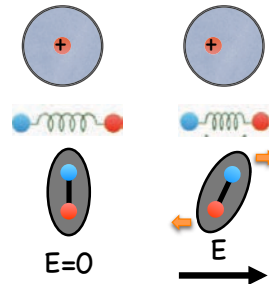
Behavior of Dipole moment in electric field is given by Classical Statics (Boltzmann distribution).

$$\alpha_p = \frac{\mu}{3kT}$$

⇒ Polarization decrease with increasing temperature due to atomic vibrations.

ORIGINS OF POLARIZATION

- Electronic Polarization, α_e
- Ionic Polarization, α_i
- Ionic Crystals, NaCl
- Dipolar Polarization, α_o
- Polar Molecules, HCl
- Space Charge Polarization, α_s

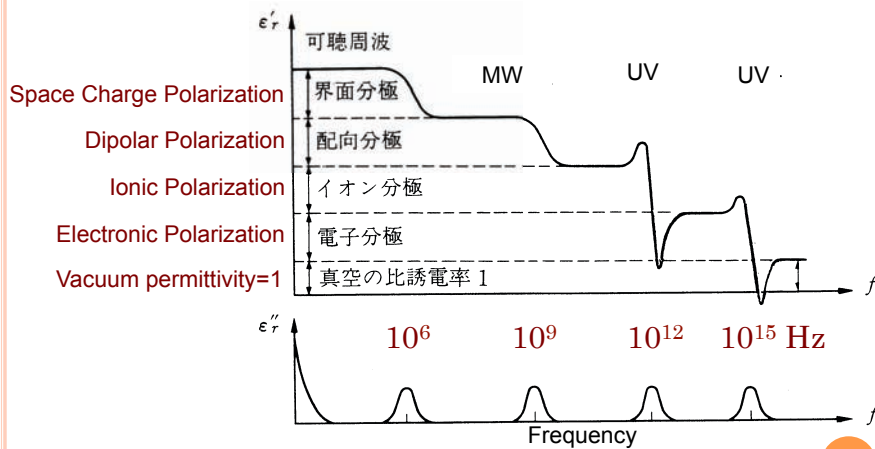


The total polarizability of the dielectric:

$$\alpha = \alpha_e + \alpha_i + \alpha_o + (\alpha_s)$$

$$\alpha = \alpha_e + \alpha_i + \frac{\mu}{3kT}$$

DIELECTRIC DISPERSION ~ DIELECTRIC SPECTROSCOPY ~

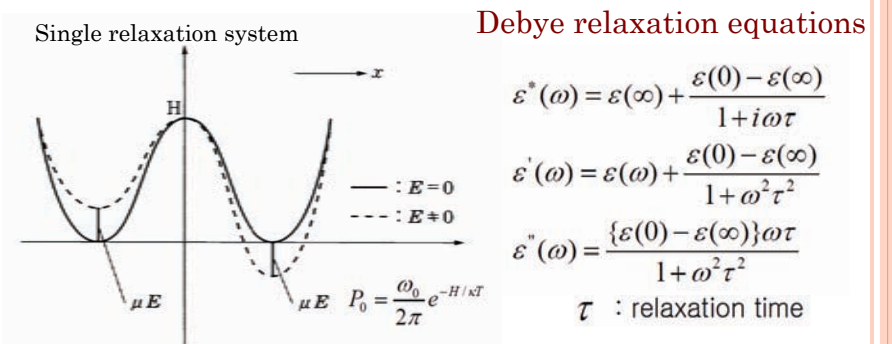


Dielectric dispersion is the dependence of the permittivity of a dielectric material on the frequency of an applied electric field.

TODAY'S TOPICS

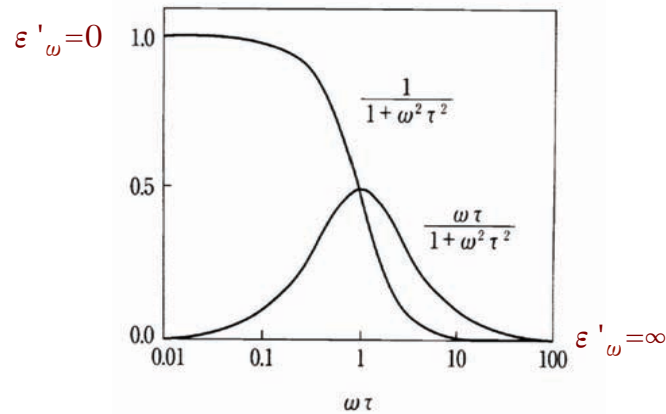
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RELAXATION TYPE DISPERSION



The energy barrier for the relaxation type dielectric dispersion.

RELAXATION TYPE DISPERSION



Relaxation spectra of relative dielectric constant, conductivity, and loss factor for a simple relaxation process with a single relaxation.

RESONANCE TYPE DISPERSION

Resonance effects:

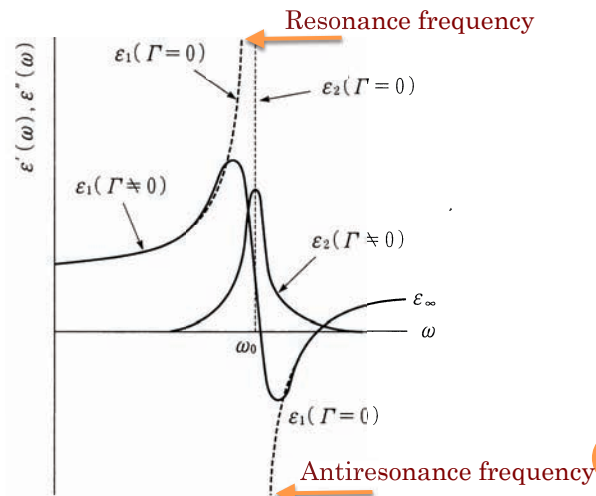
the rotations or vibrations of atoms, ions, or electrons.

$$\epsilon^*(\omega) = \epsilon(\infty) + \frac{\{\epsilon(0) - \epsilon(\infty)\}\omega_0^2}{\omega_0^2 - \omega^2 + i\Gamma\omega^2}$$

$$\epsilon'(\omega) = \epsilon(\infty) + \frac{\{\epsilon(0) - \epsilon(\infty)\}\omega_0^2(\omega_0^2 - \omega^2)}{(\omega_0^2 - \omega^2)^2 + \Gamma^2\omega^2}$$

$$\epsilon''(\omega) = \frac{\{\epsilon(0) - \epsilon(\infty)\}\omega_0^2\Gamma\omega}{(\omega_0^2 - \omega^2)^2 + \Gamma^2\omega^2}$$

RESONANCE TYPE DISPERSION



Frequency response near resonance of a dielectrics.

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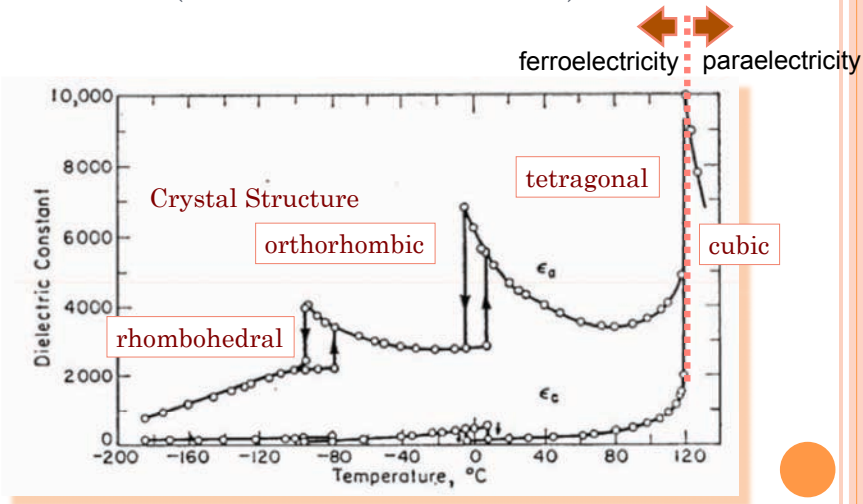
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BATIO3 (BARIUM TITANATE)



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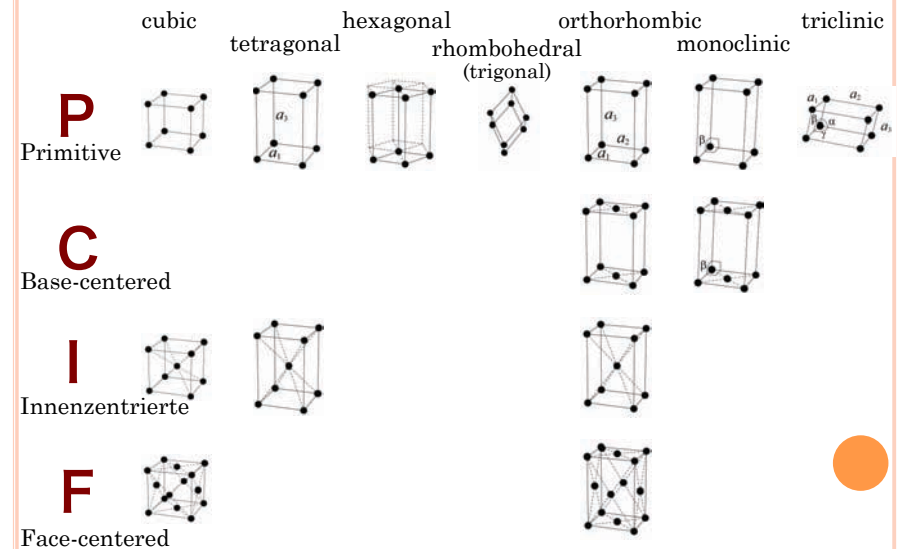
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THE 7 CRYSTAL SYSTEMS

Cubic	$\alpha = \beta = \gamma = 90^\circ$	$a = b = c$
Tetragonal	$\alpha = \beta = \gamma = 90^\circ$	$a = b \neq c$
Hexagonal	$\alpha = \beta = 90^\circ; \gamma = 120^\circ$	$a = b; c$
Rhombohedral	$\alpha = \beta = \gamma \neq 90^\circ$	$a = b = c$
Orthorhombic	$\alpha = \beta = \gamma = 90^\circ$	$a < b < c$
Monoclinic	$\alpha = \gamma = 90^\circ, \beta \neq 90^\circ$	$c \leq a, b$
Triclinic	$\alpha \neq 90^\circ, \beta \neq 90^\circ, \gamma \neq 90^\circ$	$c \leq a \leq b$

THE 14 BRAVAIS LATTICE



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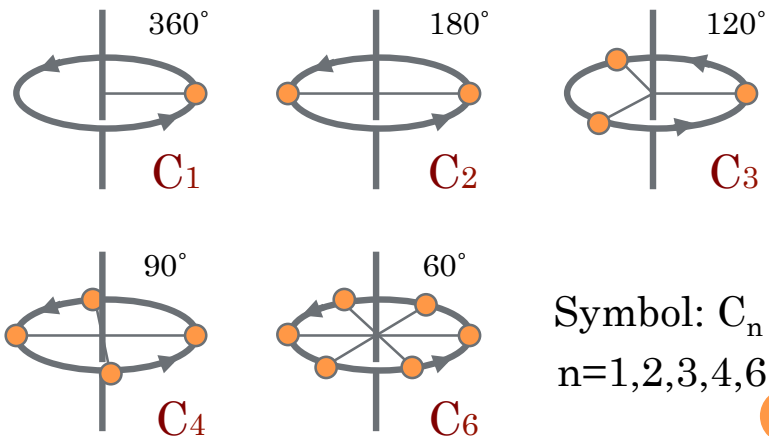


SYMMETRY OPERATIONS

- Rotation 回轉(5種類)
- Reflection 鏡映(反射)
- Inversion 反轉
- Rotatory inversion 回反
- Rotatory reflection 回映



ROTATION SYMMETRY

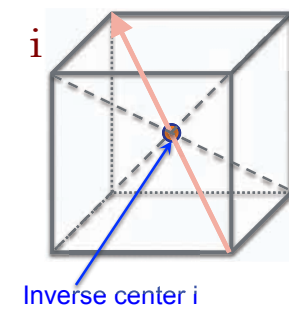
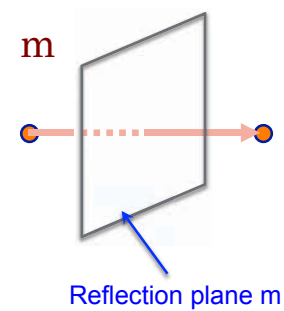


Rotation by $360^\circ/n$ or $2\pi/n$ about a rotation axis

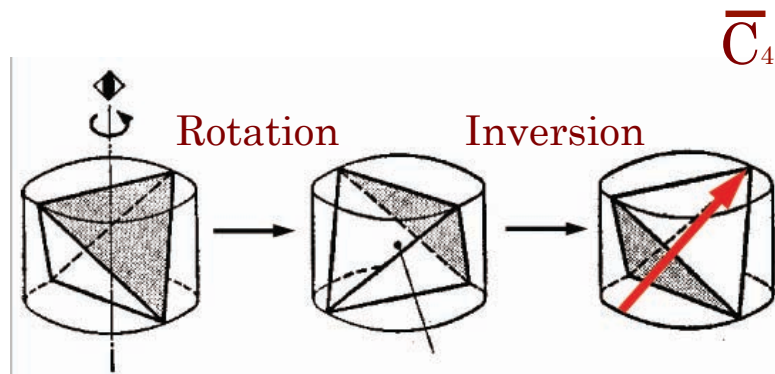
REFLECTION AND INVERSION SYMMETRY

Reflection: m (or σ)

Inversion: i (or I)

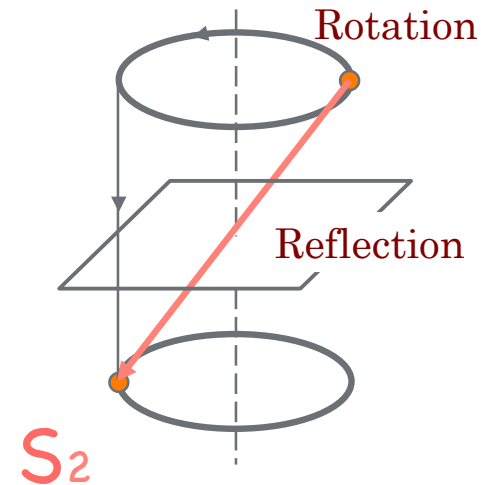


ROTATORY INVERSION SYMMETRY



\bar{C}_4

ROTATORY REFLECTION SYMMETRY



$$\begin{aligned} S_1 &= m \\ S_2 &= i \\ S_3 &= C_3 + m \\ S_6 &= C_3 + i \end{aligned}$$

SYMMETRY ELEMENT IN CRYSTALLOGRAPHY

Identity transformation: E

- Rotation axis C_1, C_2, C_3, C_4, C_6
- Rotation Inversion axis $\bar{C}_3, \bar{C}_4, \bar{C}_6$
- Rotation Reflection axis S_3, S_4, S_6
- Reflection plane m
- Inversion center i

HERMANN-MAUGUIN NOTATION ~ INTERNATIONAL SYMBOL ~

	Schoenflies Symbols	International Symbols
○ Rotation axis	C_1, C_2, C_3, C_4, C_6	1, 2, 3, 4, 6
○ Rotation Inversion axis	$\bar{C}_3, \bar{C}_4, \bar{C}_6$	$\bar{3}, \bar{4}, \bar{6}$
○ Rotation Reflection axis	S_3, S_4, S_6	3/m, 4/m, 6/m
○ Reflection plane	m	m
○ Inversion center	i	$\bar{1}$

There are **the 13 symmetry elements** in crystals.

POINT GROUPS

	Schönflies Notation	Hermann-Maugum
Cubic	O_h	$m\bar{3}m$
	O	432
	T_d	$\bar{4}3m$
	T_h	$m\bar{3}$
	T	23

O (octahedron) :

The group has the symmetry of an octahedron (or cube), with (O_h) or without (O) improper operations (those that change handedness).

T (tetrahedron)

The group has the symmetry of a tetrahedron. T_d includes improper operations, T excludes improper operations, and T_h is T with the addition of an inversion.

POINT GROUPS

	Schönflies Notation	Hermann-Maugum
Tetragonal	D_{4h}	$4/mmm$
	D_4	422
	D_{2d}	$\bar{4}2m$
	C_{4v}	$4mm$
	C_{4h}	$4/m$
	S_4	$\bar{4}$
	C_4	4

S_n (Spiegel):

The group that contains only an n-fold rotation-reflection axis.

D_n (dihedral):

The group has an n-fold rotation axis plus a twofold axis perpendicular to that axis. D_{nh} has, in addition, a mirror plane perpendicular to the n-fold axis. D_{nd} has, in addition to the elements of D_n , mirror planes parallel to the n-fold axis.

C_n (cyclic):

The group has an n-fold rotation axis. C_{nh} is C_n with the addition of a mirror (reflection) plane perpendicular to the axis of rotation. C_{nv} is C_n with the addition of a mirror plane parallel to the axis of rotation.

POINT GROUPS

	Schönflies Notation	Hermann-Maugum
Hexagonal	D_{6h}	$6/mmm$
	D_6	622
	D_{3h}	$6m2$
	C_{6v}	$6mm$
	C_{6h}	$6/m$
	C_{3h}	$\bar{6}$
	C_6	6

Subscripts (h, v, d, i)

h: Horizontal reflection plane - passing through the origin and perpendicular to the axis with the 'highest' symmetry.

v: Vertical reflection plane - passing through the origin and the axis with the 'highest' symmetry.

d: Diagonal or dihedral reflection in a plane through the origin and the axis with the 'highest' symmetry, but also bisecting the angle between the twofold axes perpendicular to the symmetry axis.

i: inverse

POINT GROUPS

	Schönflies Notation	Hermann-Maugum
Rhombohedral	D_{3d}	$\bar{3}m$
	D_3	32
	C_{3v}	$3m$
	C_{3i}	$\bar{3}$
	C_3	3
Orthorhombic	D_{2h}	mmm
	D_2	222
	D_{2v}	$mm2$

POINT GROUPS

	Schönflies Notation	Hermann-Maugum
Monoclinic	C_{2h}	2/m
	C_S	m
	C_2	2
Triclinic	C_i	1
	C_1	$\bar{1}$

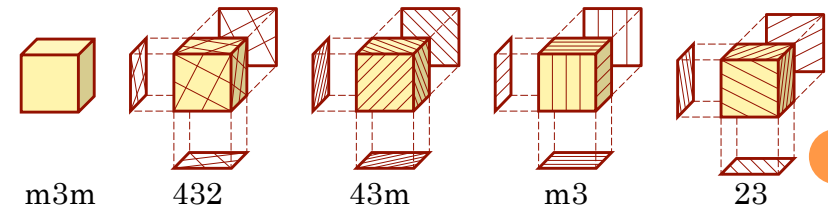
There are the 32 point group types.

Compound symmetry: screw axis and glide plane symmetry operations

The 230 unique space groups describing all possible crystal symmetries

POINT GROUPS OF CUBIC SYSTEM

	Schönflies Notation	Hermann-Maugum
Cubic	O_h	$m\bar{3}m$
	O	432
	T_d	$\bar{4}3m$
	T_h	$m\bar{3}$
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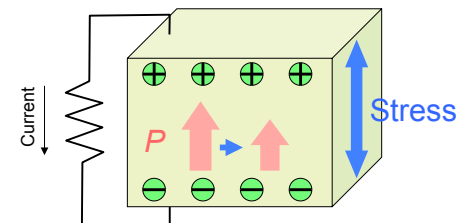
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PIEZOELECTRICITY AND CENTER OF SYMMETRY

Piezoelectricity : the ability of some materials to generate an electric potential in response to applied mechanical stress



Notice : **Piezoelectricity** is a linear effect, unlike **electrostriction**, which is a quadratic effect. In addition, **electrostriction** cannot be reversed, unlike **piezoelectricity**: deformation will not induce an electric field.

The formation of a separation of electric charge across the crystal lattice

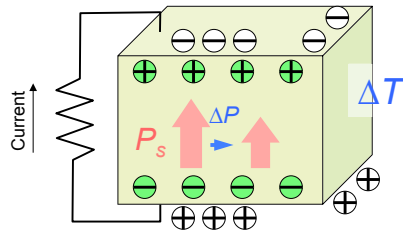
20 piezoelectric point-groups lack a center of symmetry.

(the 21st is the cubic class 432)

PYOELECTRICITY AND SPONTANEOUS POLARIZATION

Pyroelectricity:

Spontaneous polarization is temperature dependent



$$\Delta P_i = p_i \Delta T$$

pyroelectric coefficient

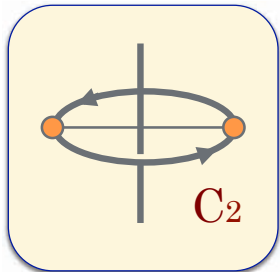
Pyroelectric Crystal shows **spontaneous polarization**, having a dipole in their unit cell.



What is a origin of spontaneous polarization in crystal structure?

POLAR VECTOR AND SYMMETRY OPERATION ~ PYROELECTRICITY AND SPONTANEOUS POLARIZATION ~

2-fold symmetry $C_2 : (x, y, z) \Rightarrow (-x, -y, z)$



$$\begin{bmatrix} P'_1 \\ P'_2 \\ P'_3 \end{bmatrix} = \begin{bmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} P_1 \\ P_2 \\ P_3 \end{bmatrix} = \begin{bmatrix} -P_1 \\ -P_2 \\ P_3 \end{bmatrix}$$

von Neumann's theorem : $P'_i = P_i$

$$P_1 = P_2 = 0, P_3 \neq 0$$

Component of polar vector; $(0, 0, z)$
⇒ Occurrence of **Spontaneous polarization**

POLAR VECTOR

In Descartes mathematics, An example of an improper rotation is a mirror reflection. That is, these vectors are defined in such a way that, if all of space were flipped around through a mirror (or otherwise subjected to an improper rotation), that vector would flip around in exactly the same way.

As a particular case where the symmetry group is important, all of the below physical examples are vectors which "transform like the coordinates" under both proper and improper rotations. Vectors with this property are sometimes called **true vectors**.

Physical examples:

displacement, velocity, electric field, momentum, force, acceleration.



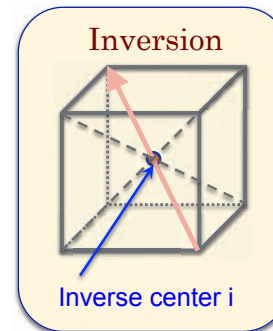
Axial vector (pseudovectors) : a quantity that transforms like a vector under a proper rotation, but gains an additional sign flip under an improper rotation (a transformation that can be expressed as an inversion followed by a proper rotation).

Physical examples:

the magnetic field, torque, vorticity, the angular momentum.

POLAR VECTOR AND SYMMETRY OPERATION ~ PYROELECTRICITY AND SPONTANEOUS POLARIZATION ~

Inverse symmetry $i : (x, y, z) \Rightarrow (-x, -y, -z)$



$$\begin{bmatrix} P'_1 \\ P'_2 \\ P'_3 \end{bmatrix} = \begin{bmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \end{bmatrix} \begin{bmatrix} P_1 \\ P_2 \\ P_3 \end{bmatrix} = \begin{bmatrix} -P_1 \\ -P_2 \\ -P_3 \end{bmatrix}$$

von Neumann's theorem : $P'_i = P_i$

$$P_1 = P_2 = P_3 = 0$$

Crystals including **inverse symmetry** do **not have spontaneous polarization**.

POLAR VECTOR AND SYMMETRY OPERATION
 ~ 10 POINT GROUPS IS POLAR ~

Point Group	Component of polar vector
2, 2m, 3, 3m, 4, 4m, 6, 6m	$0, 0, p_3$
m	$p_1, p_2, 0$
1	p_1, p_2, p_3

↓

Component of spontaneous polarization
 Component of pyroelectric coefficient

PIEZOELECTRICITY, PYROELECTRICITY
 AND FERROELECTRICITY

Piezoelectricity

20 point groups lacking in a center of symmetry

Pyroelectricity

10 point groups including polar vectors
 (having spontaneous polarization)

Ferroelectricity

The direction of spontaneous polarization can be switched between equivalent states by the application of an external electric field.

POINT GROUPS

	Schönflies Notation	Hermann -Maugum	Inversion Center	Polar Vector
Cubic	O_h	m3m	√	0
	O	432	-	0
	T_d	$\bar{4}3m$	-	0
	T_h	m3	√	0
	T	23	-	0

POINT GROUPS

	Schönflies Notation	Hermann -Maugum	Inversion Center	Polar Vector
Tetragonal	D_{4h}	4/mmm	√	0
	D_4	422	-	0
	D_{2d}	$\bar{4}2m$	-	0
	C_{4v}	4mm	-	(0, 0, z)
	C_{4h}	4/m	√	0
	S_4	$\bar{4}$	-	0
	C_4	4	-	(0, 0, z)

POINT GROUPS

	Schönflies Notation	Hermann-Maugum	Inversion Center	Polar Vector
Hexagonal	D_{6h}	6/mmm	√	0
	D_6	622	-	0
	D_{3h}	6m2	-	0
	C_{6v}	6mm	-	(0, 0, z)
	C_{6h}	6/m	√	0
	C_{3h}	$\bar{6}$	-	0
	C_6	6	-	(0, 0, z)

POINT GROUPS

	Schönflies Notation	Hermann-Maugum	Inversion Center	Polar Vector
Rhombohedral	D_{3d}	$\bar{3}m$	√	0
	D_3	32	-	0
	C_{3v}	3m	-	(0, 0, z)
	C_{3i}	$\bar{3}$	√	0
	C_3	3	-	(0, 0, z)
Orthorhombic	D_{2h}	mmm	√	0
	D_2	222	-	0
	D_{2v}	mm2	-	(0, 0, z)

POINT GROUPS

	Schönflies Notation	Hermann-Maugum	Inversion Center	Polar Vector
Monoclinic	C_{2h}	2/m	√	0
	C_s	m	-	(x, 0, z)
	C_2	2	-	(0, 0, z)
Triclinic	C_i	1	√	0
	C_1	$\bar{1}$	-	(x, y, z)

There are the 32 point group types.

Compound symmetry: screw axis and glide plane symmetry operations

The 230 unique space groups describing all possible crystal symmetries

FOR YOUR ADVANCED STUDY

International Tables for Crystallography,
Volume A: Space Group Symmetry



Solid State Physics (Hardcover)
by Gerald Burns

